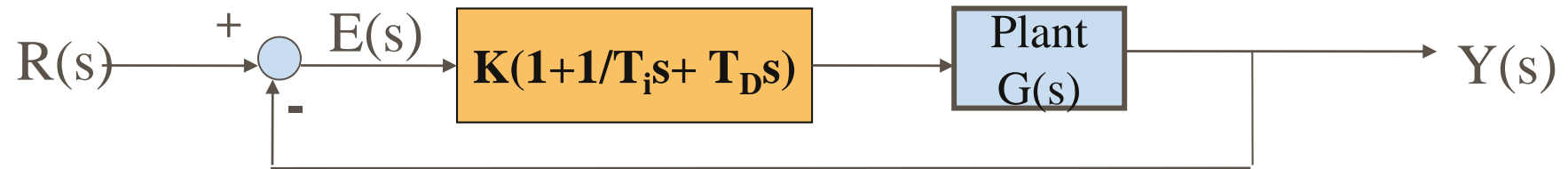


# MM7 Practical Issues Using PID Controllers



## Readings:

- FC textbook: Section 4.2.7 Integrator Antiwindup (p.196-200)
- Extra reading: Hou Ming's lecture notes (p.60-69)
- Extra reading: M.J. Willis notes on PID controller



What have we talked in **MM6**?

- PID controllers
- Ziegler-Nichols tuning methods

## MM6: Characteristics of PID Controllers

- Proportional gain,  $K_p$  larger values typically mean faster response. An excessively large proportional gain will lead to process instability and oscillation.
- Integral gain,  $K_i$  larger values imply steady state errors are eliminated more quickly. The trade-off is larger overshoot
- Derivative gain,  $K_d$  larger values decrease overshoot, but slows down transient response and may lead to instability due to signal noise amplification in the differentiation of the error.

# MM6: PID Tuning Methods- Trial-Error

## Rules of thumb:

$$K_p > K_i > K_d,$$
$$K_p \approx (5 \sim 10)K_i,$$
$$K_i \approx (5 \sim 10)K_d$$

- Advantages: Simple
- Disadvantages:
  - unsatisfactory performance
  - expensive on-site experiment
  - issues of equipment safety

## Procedure:

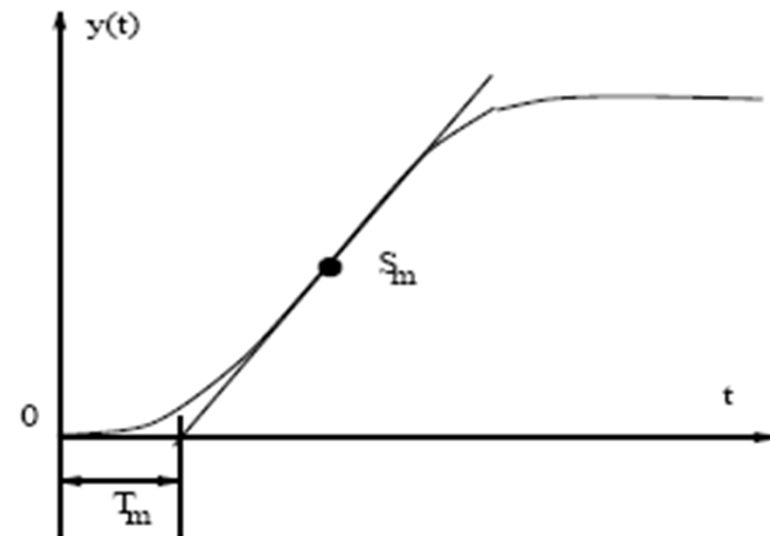
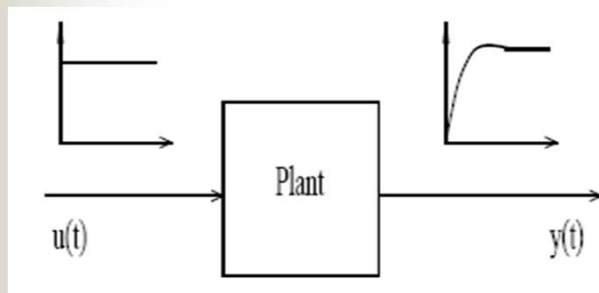
- Step 1: Set  $K_i = 0$  &  $K_d = 0$ . Increase  $K_p$  from zero;
- Step 2: Fix  $K_p$ . Increase  $K_i$  from zero;
- Step 3: Fix  $K_p$  &  $K_i$ . Increase  $K_d$  from zero.

Note: Several iterations of the procedure may be necessary

See Hou Ming's lecture notes

# MM6: PID Tuning – Ziegler Nichols (I)

- **Pre-condition:** system has no overshoot of step response

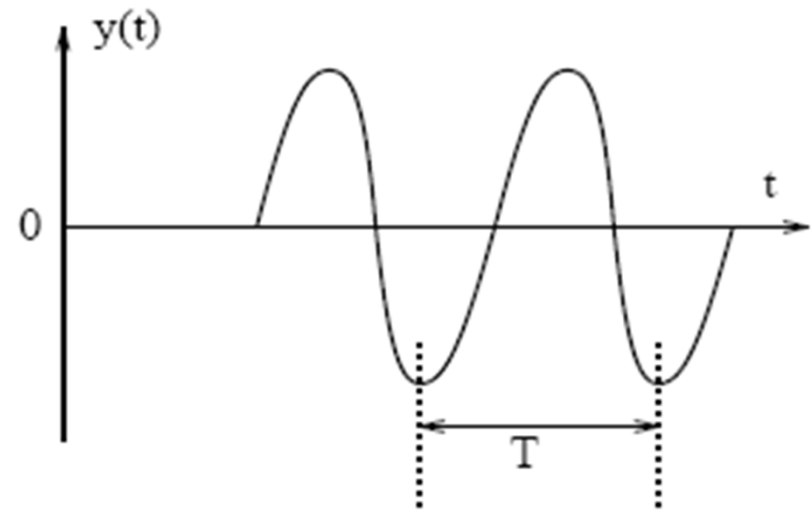
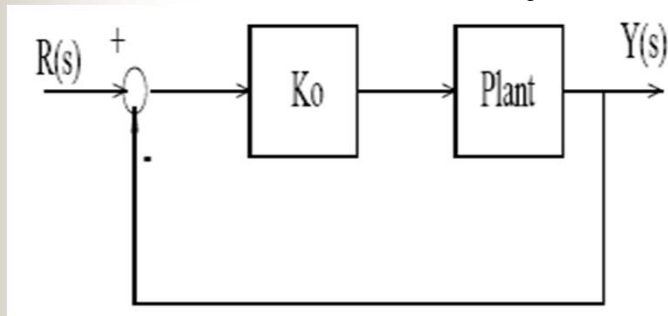


| Control | $K_p$                 | $K_i$             | $K_d$             |
|---------|-----------------------|-------------------|-------------------|
| P       | $\frac{1}{S_m T_m}$   | 0                 | 0                 |
| PI      | $\frac{0.9}{S_m T_m}$ | $\frac{3}{S_m}$   | 0                 |
| PID     | $\frac{1.2}{S_m T_m}$ | $\frac{2.4}{S_m}$ | $\frac{0.6}{S_m}$ |

See Hou Ming's lecture notes

# MM6: PID Tuning – Ziegler Nichols (II)

- **Pre-condition:** system order  $> 2$



| Control | $K_p$             | $K_i$                 | $K_d$                 |
|---------|-------------------|-----------------------|-----------------------|
| P       | $\frac{K_o}{2}$   | 0                     | 0                     |
| PI      | $\frac{9K_o}{20}$ | $\frac{3K_o T_o}{8}$  | 0                     |
| PID     | $\frac{3K_o}{5}$  | $\frac{3K_o T_o}{10}$ | $\frac{3K_o T_o}{40}$ |

See Hou Ming's lecture notes

# Goals for this lecture (MM7)

Some **practical issues** when developing a PID controller:

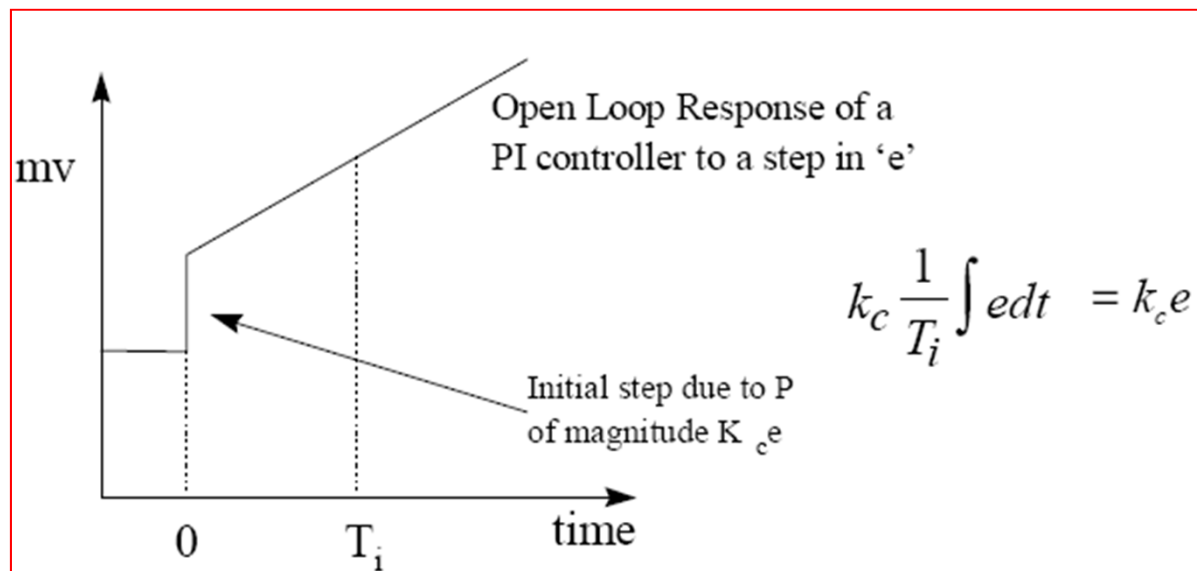
- **Integral windup & Anti-windup methods**
- Derivative kick
- When to use which controller?
- Operational Amplifier Implementation
- Other tuning methods

# PI control: Reset time

## ■ Control Structure $T_I$ – integral/reset time

$$\text{Time Domain: } u(t) = K(e(t) + \frac{1}{T_I} \int_{t_0}^t e(\tau) d\tau)$$

$$\text{Frequency Domain: } D(s) = \frac{U(s)}{E(s)} = K(1 + \frac{1}{T_I s})$$





# Integral Windup

- **Integral windup**

Integration (I)  $\rightarrow$  actuator saturation phenomena

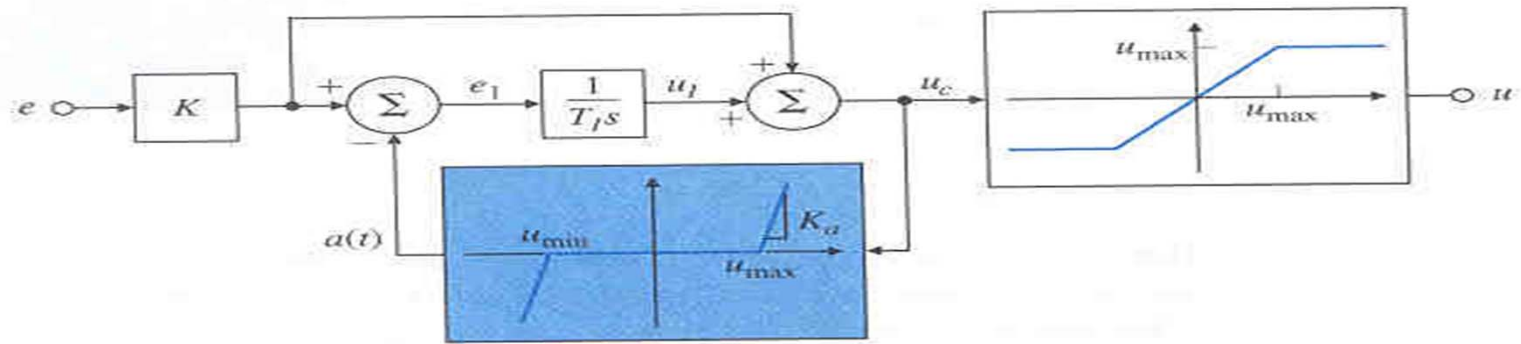
- **Anti-windup**

Turn off the integral action as soon as the actuator saturates

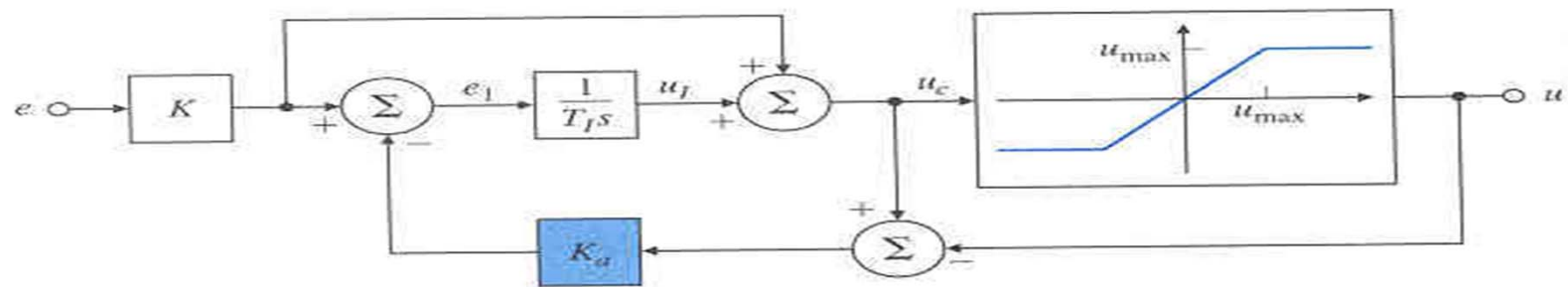
- **Anti-windup methods**

- Implement with a dead zone
- Implement with a nonlinearity
- Others...

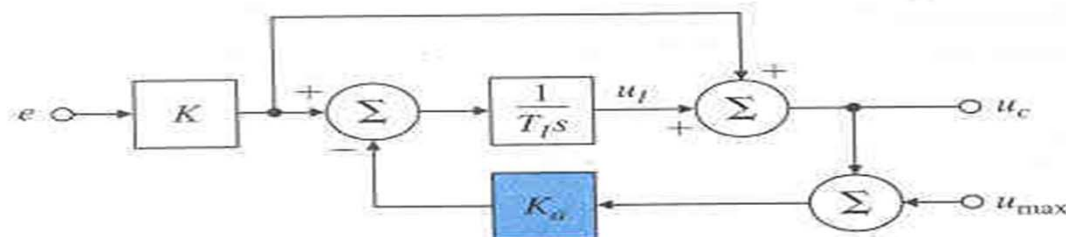
# Anti-windup Techniques



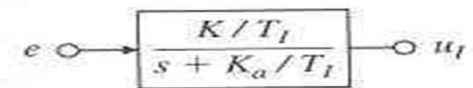
(a)



(b)



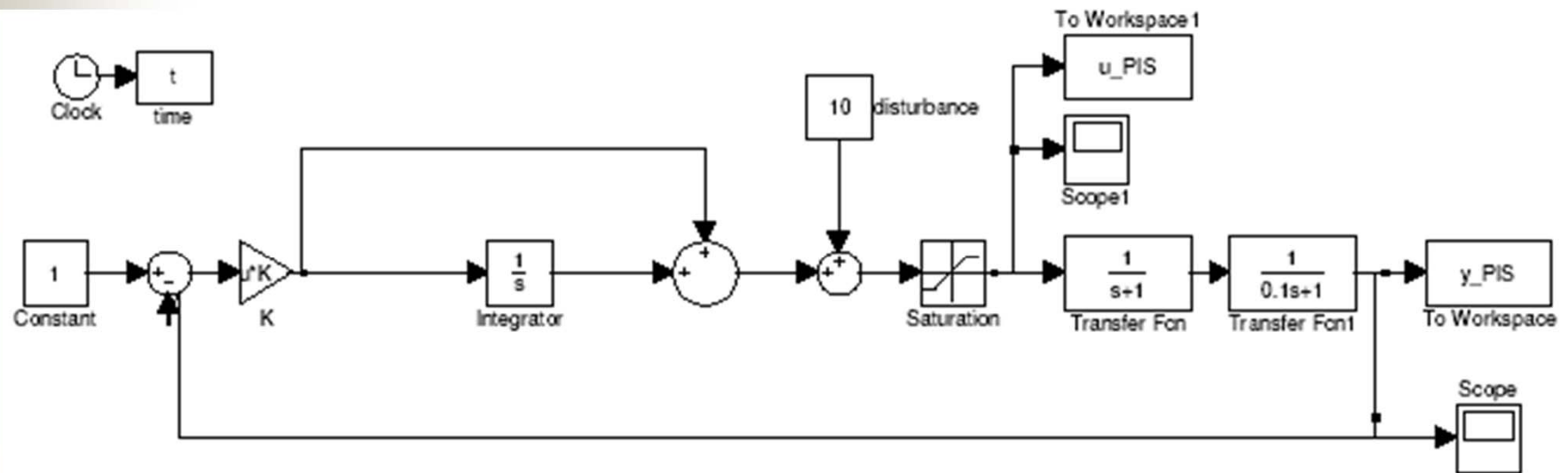
(c)



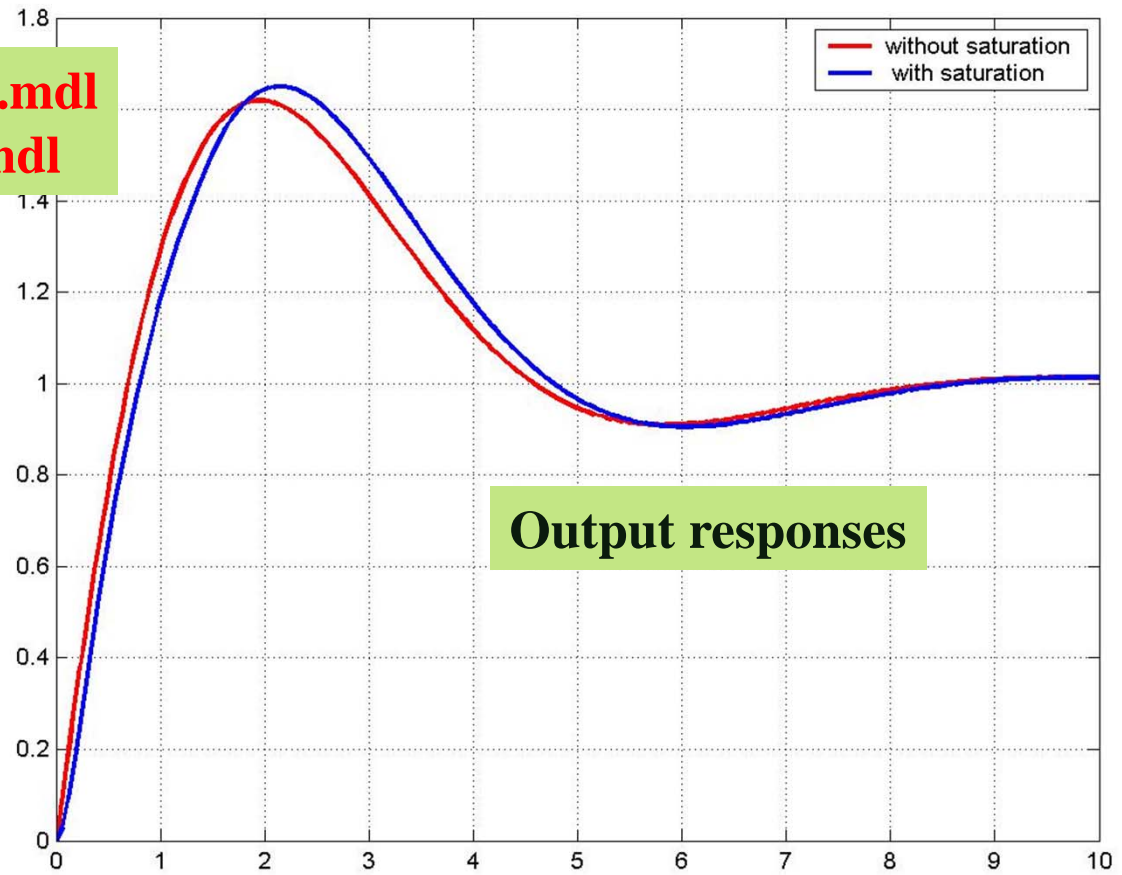
(d)

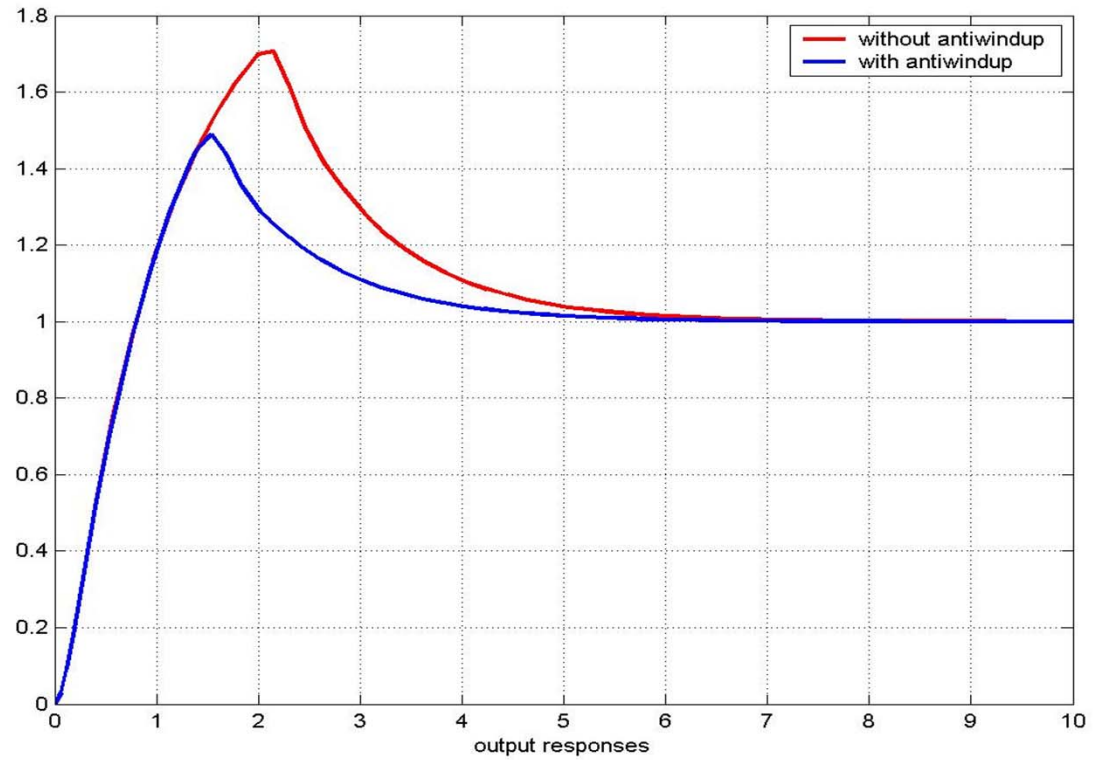
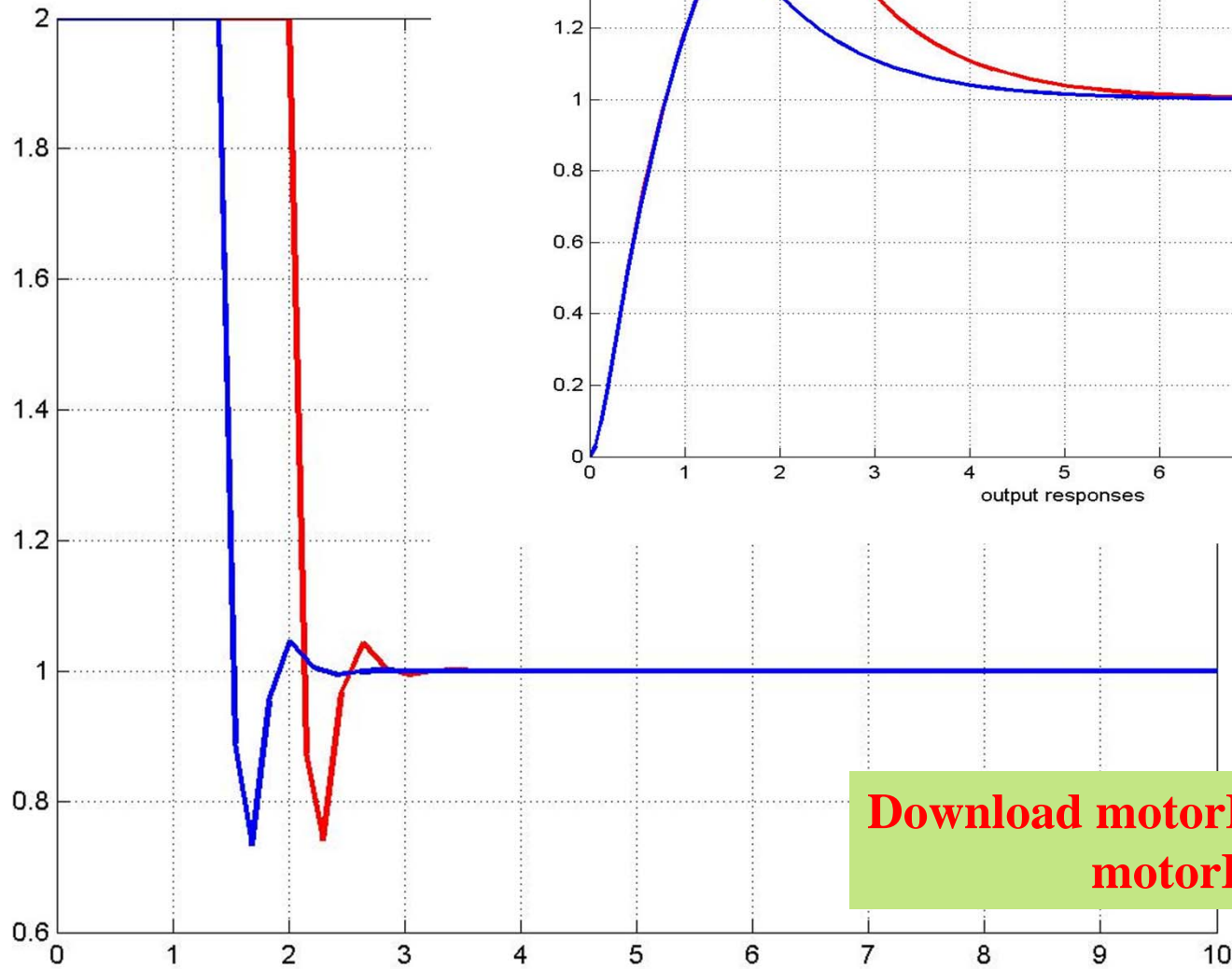
# Example: DC Motor Control with Saturation

Download [motorPIsaturation.mdl](#)  
[motorPIantiwind.mdl](#)



**Download motorPIsaturation.mdl  
motorPIantiwind.mdl**





**Download [motorPIsaturation.mdl](#)  
[motorPIantiwind.mdl](#)**



## Goals for this lecture (**MM7**)

Some **practical issues** when developing a PID controller:

- Integral windup & Anti-windup methods
- **Derivative kick**
- When to use which controller?
- Operational Amplifier Implementation
- Other tuning methods

# Derivative Kick (I)

$$u(t) = T_D \dot{e}(t)$$
$$D(s) = \frac{U(s)}{E(s)} = T_D s$$

- Reducing oscillations in feedback systems is the key advantage of derivative control

However,

- Does not eliminate offset
- Slows the response
- **Derivative kick:** if we have a setpoint change, a spike will be caused by D controller, which is called derivative kick.

## Derivative Kick (II)

$$u(t) = K(e(t) + \frac{1}{T_I} \int_{t_0}^t e(\tau) d\tau + T_D \dot{e}(t))$$

$$D(s) = \frac{U(s)}{E(s)} = K(1 + \frac{1}{T_I s} + T_D s)$$

- Derivative kick can be removed by replacing the derivative term with just output ( $y$ ), instead of  $(r_{\text{set}} - y)$ .

$$u(t) = K(e(t) + \frac{1}{T_I} \int_{t_0}^t e(\tau) d\tau + T_D \dot{y}(t))$$

$$U(s) = K(1 + \frac{1}{T_I s})E(s) + T_D s Y(s)$$

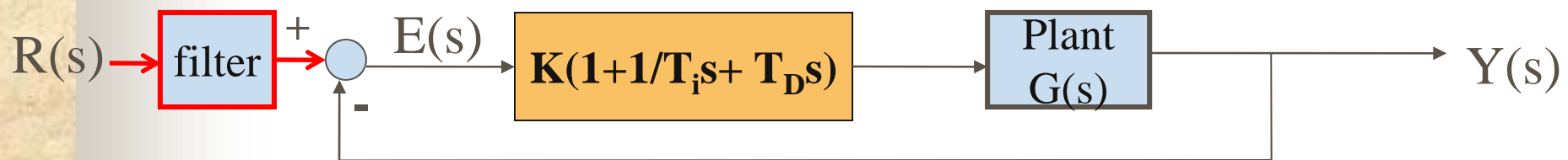


## Derivative Kick (III)

$$u(t) = K(e(t) + \frac{1}{T_I} \int_{t_0}^t e(\tau) d\tau + T_D \dot{e}(t))$$

$$D(s) = \frac{U(s)}{E(s)} = K(1 + \frac{1}{T_I s} + T_D s)$$

- Derivative kick can be reduced by introducing a lowpass filter before the set-point enters the system
- The bandwidth of the filter should be much larger than the closed-loop system's bandwidth





## Goals for this lecture (**MM7**)

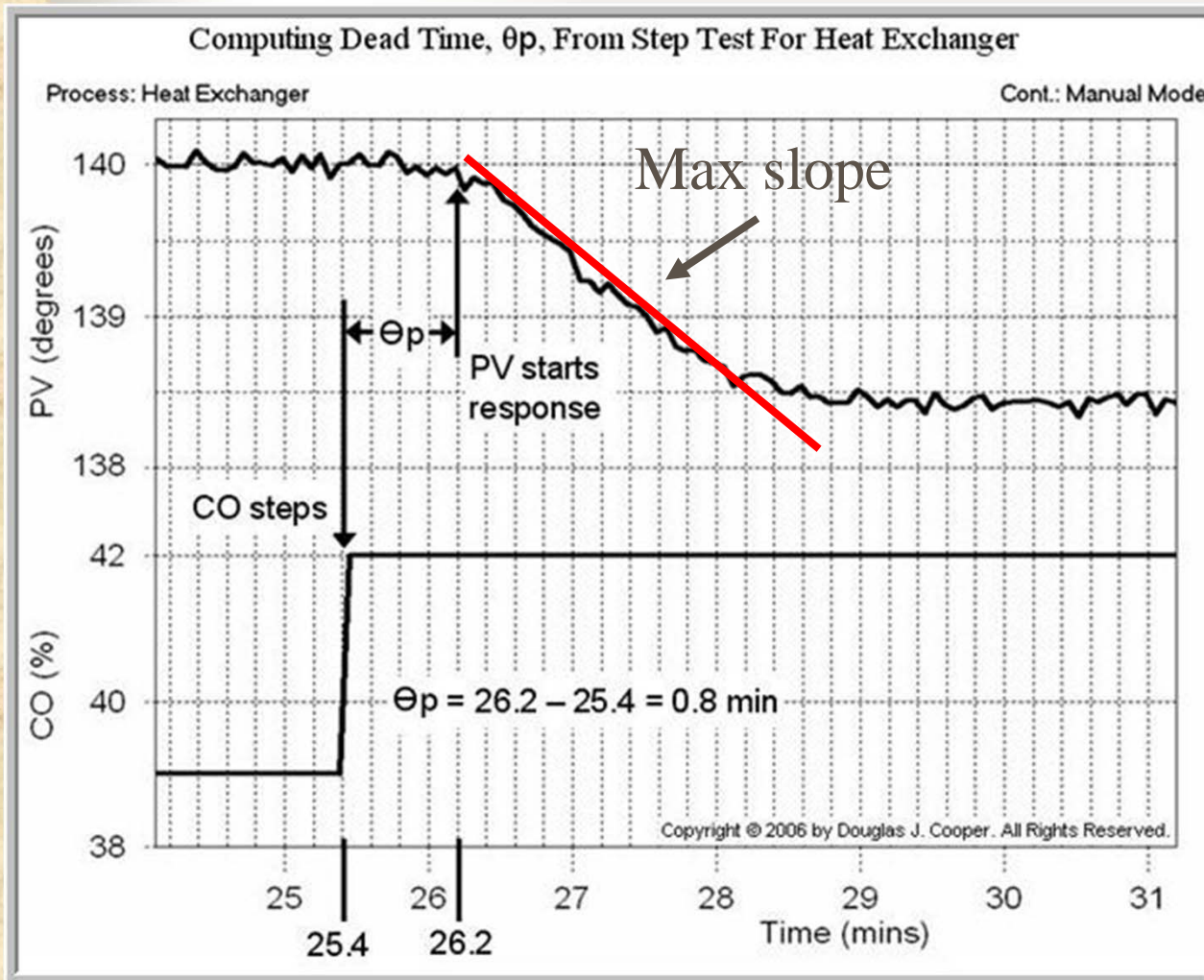
Some **practical issues** when developing a PID controller:

- Integral windup & Anti-windup methods
- Derivative kick
- **When to use which controller?**
- Operational Amplifier Implementation
- Other tuning methods

# When to use which controller?

|             | P   | I  | D   | PI   | PID  |
|-------------|---|--|---|--|--|
| Estimate    | present   | back                                     | forward   | Present & back   | All time   |
| When to use | Systems with slow responses, tolerant to offset     | Not often used alone, as is too slow     | Not used alone because is too sensitive to noise and does not have setpoint | Often used   | Often used, most robust, but can be noise sensitive  |
| Examples    | Example use: float valves, thermostats, humidistat. | Example use: used for very noisy systems | Example use: none   | Example use: thermostats, flow control, pressure control | Examples: Cases where the system has inertia that could get out of hand: temperature and concentration measurements on a reactor for example. Avoid runaway. |

# 1/4 decay ratio is not conservative standard (too oscillatory).



- Change set point from 39 to 42% CO
- Observe delay (0.8)
- Observe max slope of response at T=27

Slope=

$$\frac{(140 - 139)}{(26.2 - 27.5)} = -0.77$$

Kmax= output change/  
Input change=k1/k2

$$\frac{-0.77}{3} = -0.26$$

Example from <http://www.controlguru.com>

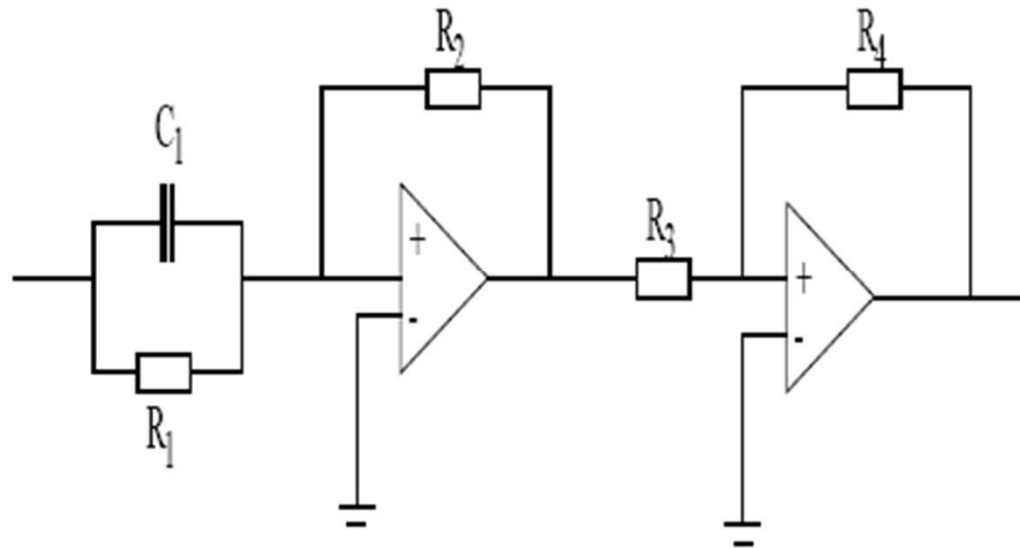


## Goals for this lecture (**MM7**)

Some **practical issues** when developing a PID controller:

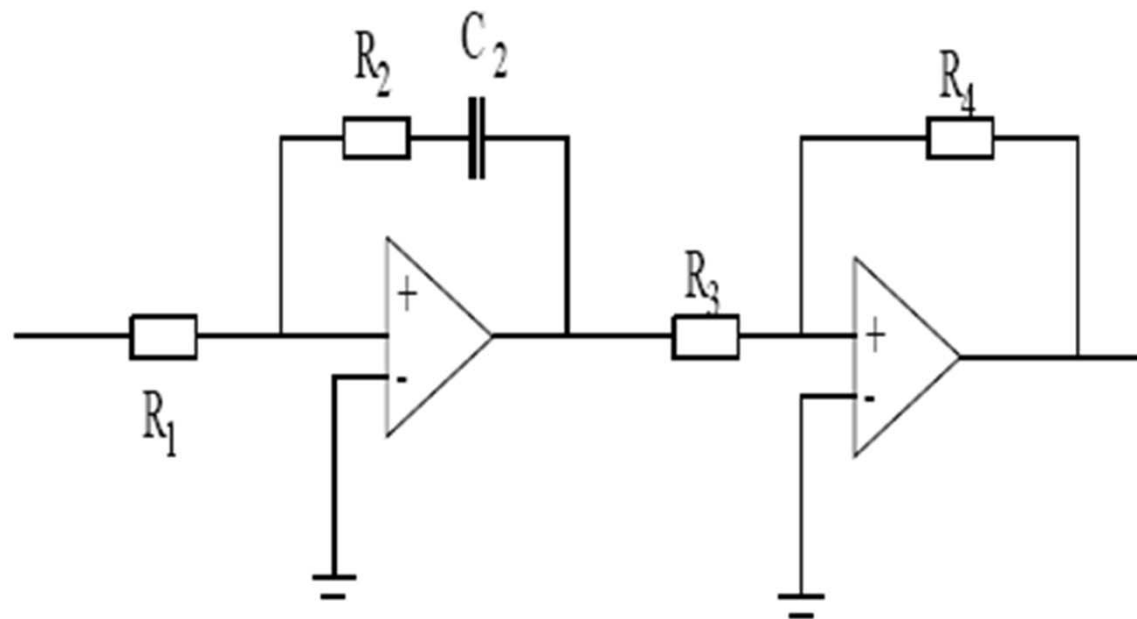
- Integral windup & Anti-windup methods
- Derivative kick
- When to use which controller?
- **Op-Amp Implementation**
- Other tuning methods

# Op-Amp Implementation (I)



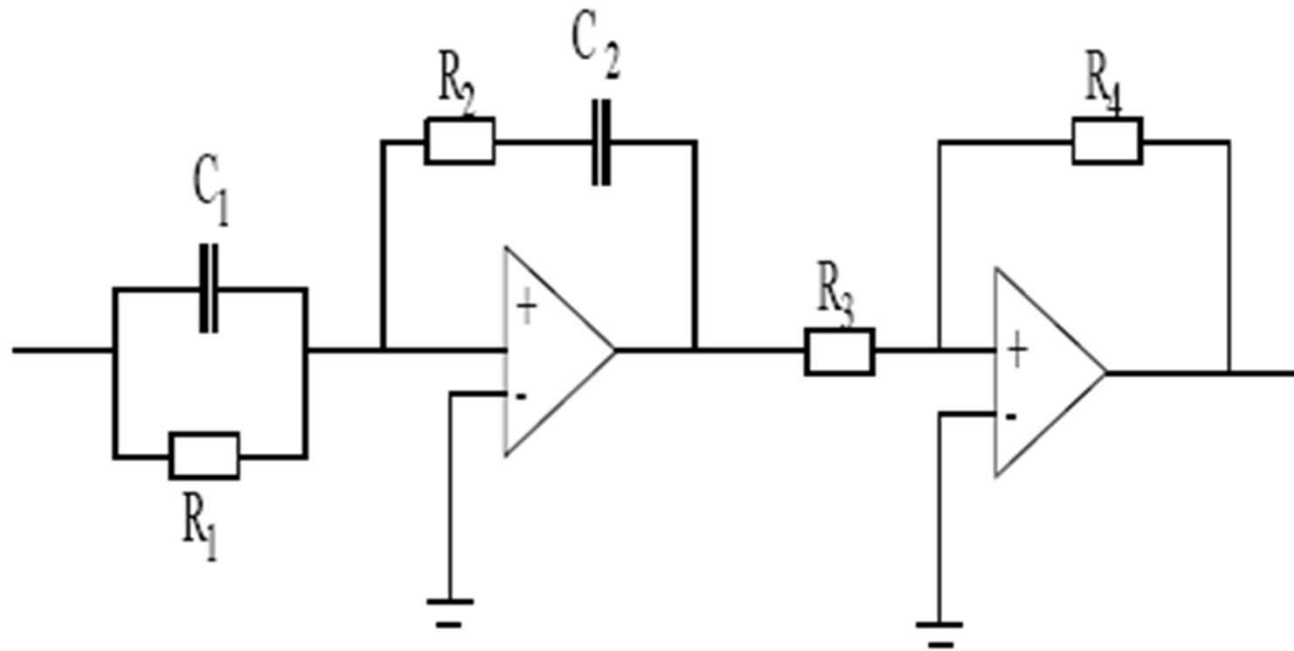
PD controller with  $G_c(s) \stackrel{?}{=} \frac{R_4 R_2}{R_3 R_1} (R_1 C_1 s + 1)$

## Op-Amp Implementation (II)



PI controller with  $G_c(s) = \frac{R_4(R_2C_2s + 1)}{R_3R_1C_2s}$

# Op-Amp Implementation (III)



PID controller with

$$G_c(s) = \frac{R_4(R_1C_1s + 1)(R_2C_2s + 1)}{R_3R_1C_2s}$$





# Goals for this lecture (**MM7**)

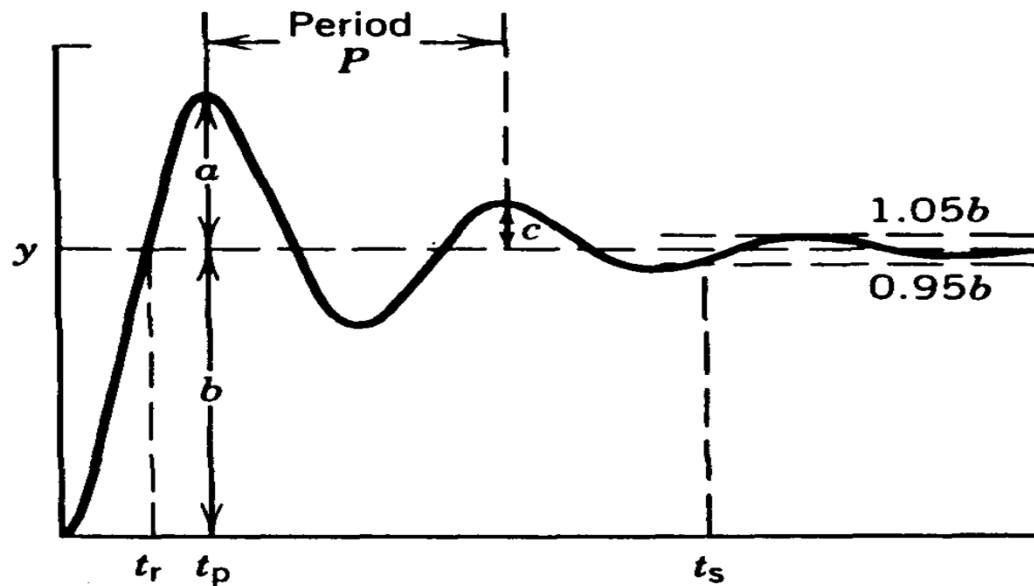
Some **practical issues** when developing a PID controller:

- Integral windup & Anti-windup methods
- Derivative kick
- When to use which controller?
- Op-Amp Implementation
- **Other PID tuning methods**

# Controller Synthesis - Time Domain

Time-domain techniques can be classified into two groups:

- ❑ Criteria based on a few points in the response  
settling time, overshoot, rise time, decay ratio, settling time
- ❑ Criteria based on the entire response, or integral criteria



$$G(s) = \frac{Ke^{-\theta s}}{\tau s + 1} \quad (\text{1st order})$$

## Cohen-Coon Tuning Method

- **Pre-condition:** first-order system with some time delay
- **Objective:** 1/4 decay ratio & minimum offset

|            | $k_c$   | $T_i$  | $T_D$                                    |
|------------|---|--|--|
| <b>P</b>   | $\frac{1}{k_p} \frac{\tau}{\theta} \left(1 + \frac{\theta}{3\tau}\right)$             |  |  |
| <b>PI</b>  | $\frac{1}{k_p} \frac{\tau}{\theta} \left(\frac{9}{10} + \frac{\theta}{12\tau}\right)$ | $\theta \frac{30 + 3(\theta / \tau)}{9 + 20(\theta / \tau)}$ |  |
| <b>PID</b> | $\frac{1}{k_p} \frac{\tau}{\theta} \left(\frac{4}{3} + \frac{\theta}{4\tau}\right)$   | $\theta \frac{32 + 6(\theta / \tau)}{13 + 8(\theta / \tau)}$ | $\theta \frac{4}{11 + 2(\theta / \tau)}$ |

In the table  $k_p$  is the process gain,  $\tau$  the process time constant and  $\theta$  the process time delay.

$$G(s) = \frac{Ke^{-\theta s}}{\tau s + 1} \quad (1st \text{ order})$$

## Comparison of Ziegler-Nichols and Cohen-Coon Equations for Controller Tuning (1940's, 50's)

| Controller                                       | Ziegler-Nichols  | Cohen-Coon  |
|--|--|---|
| Proportional                                     | $KK_c = \left(\tau/\theta\right)$  | $KK_c = \left(\tau/\theta\right) + 1/3$   |
| Proportional<br>+<br>Integral                    | $KK_c = 0.9\left(\tau/\theta\right)$<br><br>$\frac{\tau_I}{\tau} = 3.33\left(\theta/\tau\right)$   | $KK_c = 0.9\left(\tau/\theta\right) + 0.083$<br><br>$\frac{\tau_I}{\tau} = \frac{\theta\left[3.33 + 0.33\left(\theta/\tau\right)\right]}{1.0 + 2.2\left(\theta/\tau\right)}$  |
| Proportional<br>+<br>Integral<br>+<br>Derivative | $KK_c = 1.2\left(\tau/\theta\right)$<br><br>$\frac{\tau_I}{\tau} = 2.0\left(\theta/\tau\right)$<br><br>$\frac{\tau_D}{\tau} = 0.5\left(\theta/\tau\right)$ | $KK_c = 1.35\left(\tau/\theta\right) + 0.270$<br><br>$\frac{\tau_I}{\tau} = \frac{\theta\left[32 + 6\left(\theta/\tau\right)\right]}{13 + 8\left(\theta/\tau\right)}$<br><br>$\frac{\tau_D}{\tau} = \frac{0.37\left(\theta/\tau\right)}{1.0 + 0.2\left(\theta/\tau\right)}$ |

These methods are not suitable for systems where there is zero(s) or virtually no time delay!



# FORTD Model Approximation

- **Motivation:**

many empirical PID tuning methods are based on first-order system with time delay

- **FORTD model approximation**

- System identification method
- Matlab: **ident**

## Other Criteria for Performance

1. Integral of square error (ISE) 
$$\text{ISE} = \int_0^{\infty} [e(t)]^2 dt$$

2. Integral of absolute value of error (IAE) 
$$\text{IAE} = \int_0^{\infty} |e(t)| dt$$

3. Time-weighted IAE 
$$\text{ITAE} = \int_0^{\infty} t |e(t)| dt$$

**Design:** Pick controller parameters to minimize integral.

IAE allows larger deviation than ISE (smaller overshoots)

ISE longer settling time

ITAE weights errors occurring later more heavily

Approximate optimum tuning parameters are correlated with  $K, \theta, \tau \dots$

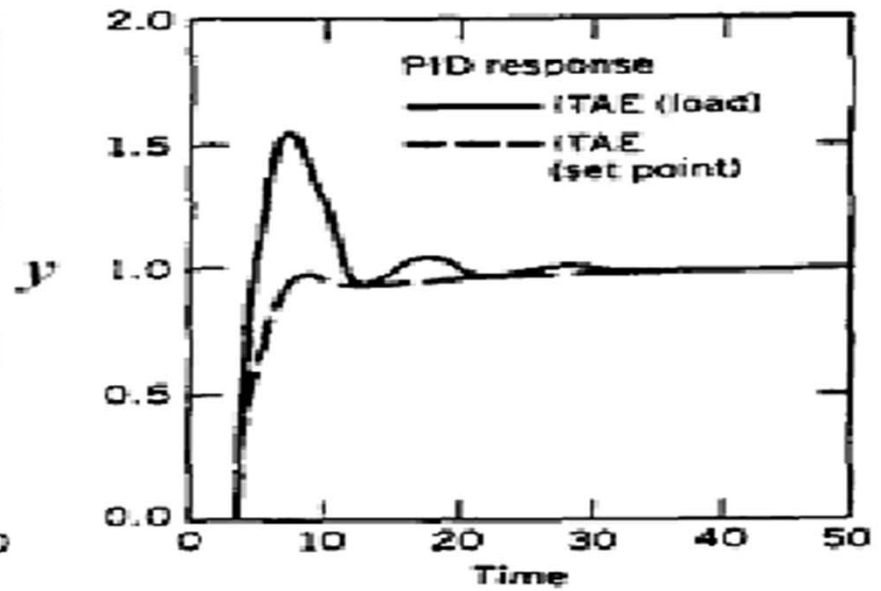
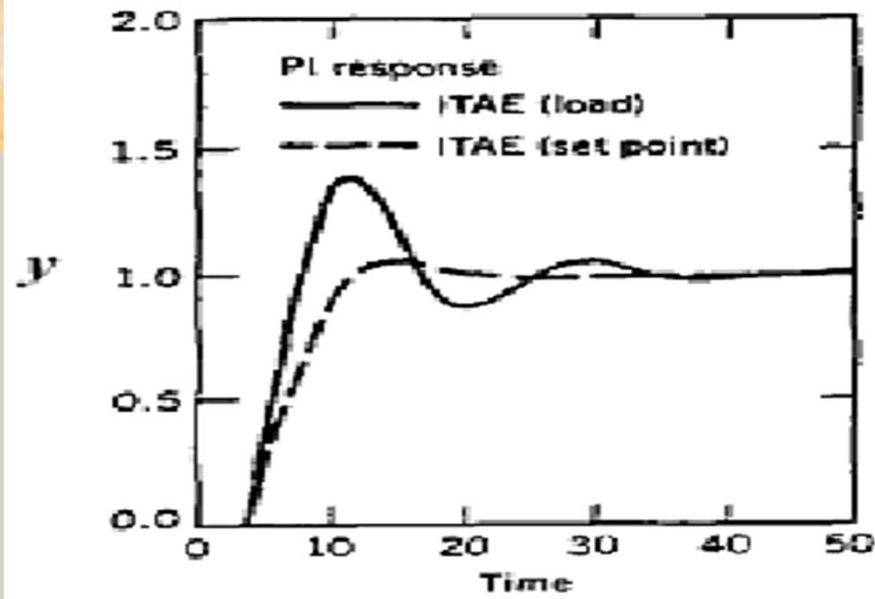
$$G(s) = \frac{Ke^{-\theta s}}{\tau s + 1} \quad (1\text{st order})$$

**Table 12.3 Controller Design Relations Based on the ITAE Performance Index and a First-Order plus Time-Delay Model**

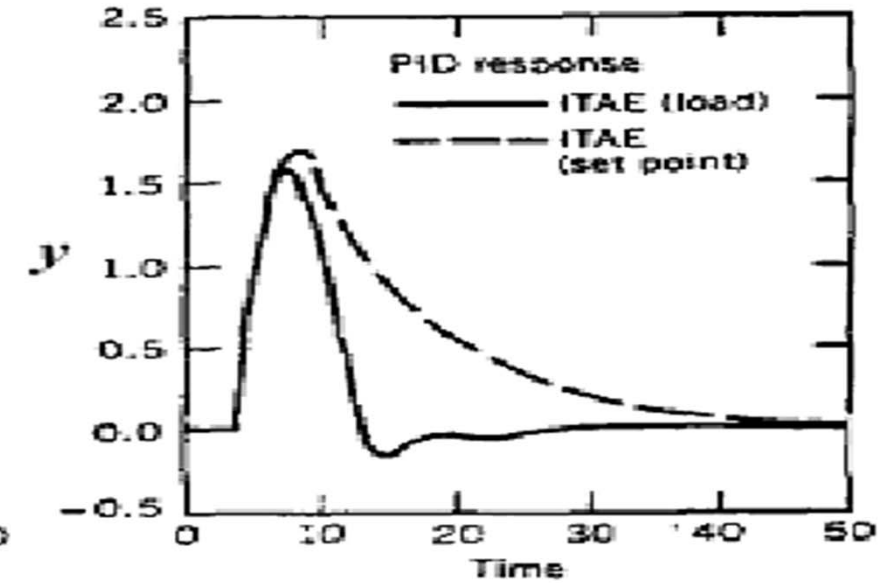
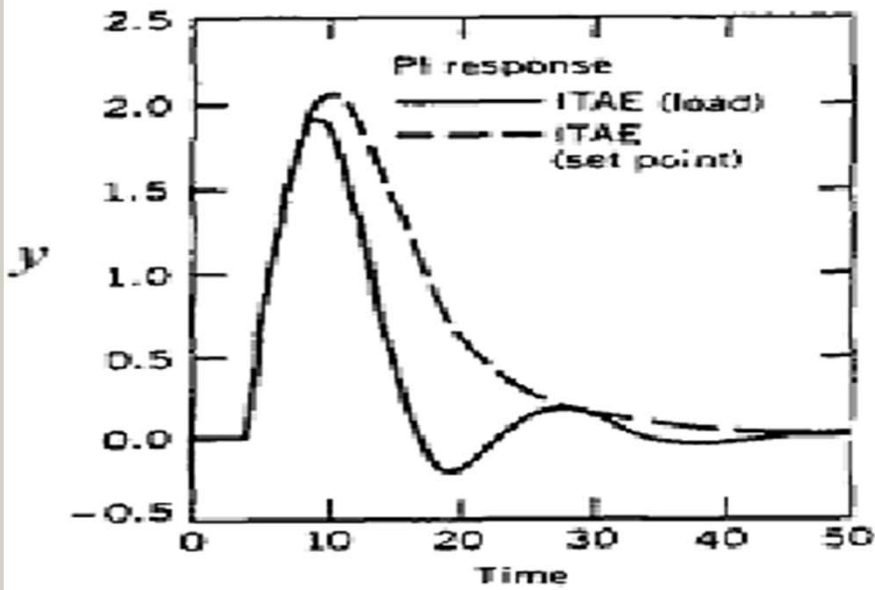
| <i>Type of Input</i> | <i>Type of Controller</i> | <i>Mode</i> | <i>A</i>           | <i>B</i>             |
|----------------------|---------------------------|-------------|--------------------|----------------------|
| Load                 | PI                        | P           | 0.859              | -0.977               |
|                      |                           | I           | 0.674              | -0.680               |
| Load                 | PID                       | P           | 1.357              | -0.947               |
|                      |                           | I           | 0.842              | -0.738               |
|                      |                           | D           | 0.381              | 0.995                |
| Set point            | PI                        | P           | 0.586              | -0.916               |
|                      |                           | I           | 1.03 <sup>b</sup>  | -0.165 <sup>b</sup>  |
| Set point            | PID                       | P           | 0.965              | -0.85                |
|                      |                           | I           | 0.796 <sup>b</sup> | -0.1465 <sup>b</sup> |
|                      |                           | D           | 0.308              | 0.929                |

<sup>a</sup>Design relation:  $Y = A(\theta/\tau)^B$  where  $Y = KK_c$  for the proportional mode,  $\tau/\tau_I$  for the integral mode, and  $\tau_D/\tau$  for the derivative mode.

<sup>b</sup>For set-point changes, the design relation for the integral mode is  $\tau/\tau_I = A + B(\theta/\tau)$ . [8]



(a)



(b)

Comparison of controllers designed using ITAE criteria for (a) set-point and (b) load changes.



# MM7 Exercise

continue MM6 exercise: Design a P, PI, PID controller for the following DC motor speed control, According to quarter decay method.

**Implement the above system with an actuator saturation in simulink model with  $u_{\max}=2$ ,  $u_{\min}=-2$ . Design an integrator antiwindup strategy for your designed PI controller.**

**[Download ZN\\_tuning\\_motor.mdl](#)**

